



MORGANFRANKLIN®
CONSULTING

CASE STUDY

Implementing ERM in Early Stage FinTech



FinTech Credit Solutions Provider



Atlanta, GA



6 months

Challenge

A leading technology first lending startup providing working capital and growth capital to the small business community engaged MorganFranklin to develop an organization-wide risk profile, help management understand risk exposures, and determine the organization's risk tolerance and risk management strategy.

Solution

MorganFranklin's team of experts strategized with the client and

- developed an organization-wide risk assessment framework
- identified opportunities to focus ERM implementation efforts on quick wins
- created a maturity model that identified gaps and improvement opportunities between the organization's current and desired level of ERM maturity
- worked across legal/compliance, underwriting, operations, treasury and technology departments to develop a comprehensive risk management approach.

Results

The assistance MorganFranklin provided in implementing ERM served as a selling point to business partners (e.g., banks) and investors that increasingly require evidence of ERM capabilities for funding and partnership opportunities.

The process provided greater transparency into risk areas for remediation and FFIEC compliance, and the outcome was used to establish an internal audit function and increase the organization's risk awareness.

The client proactively identified cybersecurity risks and opportunities to protect and create value for stakeholders, which expanded the organization's valuation.

CAPABILITIES

- Enterprise Risk Management
- Internal Audit
- Business Strategy

About MorganFranklin Consulting

We are a management and technology consulting firm that works with leading organizations to address critical finance, technology, and business objectives. Organizations of all sizes turn to MorganFranklin Consulting because we bring experienced, engaged professionals who are highly energetic and motivated to work with our clients in challenging environments. We hit the ground running and achieve results through what we deliver, and in how we deliver it.



Technical Accounting
& Financial Reporting



Enterprise &
Cloud Applications



IPO Readiness



Cybersecurity



Finance
Transformation



M&A Lifecycle



Business
Transformation



CIO Advisory



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Risk Advisory

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